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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/790,224 | 03/02/2004 | Yumi Matsuzaki | US-162 |

000038108
AJINOMOTO CORPORATE SERVICES, LLC
INTELLECTUAL PROPERTY DEPARTMENT
1120 CONNECTICUT AVE., N.W.
WASHINGTON, DC 20036

CONFIRMATION NO. 9934

FORMALITIES LETTER



OC000000013193135

Date Mailed: 07/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

08/30/2004 JBALINAN 00000044 503077 10790224

01 FC:1051 130.00 DA

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Matsuzaki et al.

Application No.: 10/790,224

Filing Date: March 2, 2004

Art Unit: 1652

Examiner: [to be assigned]

Atty. Docket: US-162

Title: Method for Producing L-Arginine or L-Lysine by Fermentation

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL
APPLICATION**

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office, in response to the Notice to File Missing Parts of Non-provisional Application, dated July 9, 2004:

- 1) (Return Copy) Notice to File Missing Parts (2 pp)
- 2) Combined Declaration and Power of Attorney for Patent Application (3 pp)

Please charge the Surcharge for Late Filing of the Declaration (fee code 1051) of \$130.00 to Deposit Account No. 50-3077.

In the Notice to File Missing Parts of Non-provisional Application with Requirements for Patent Applications Containing Nucleotide Sequence and/or amino Acid Sequence Disclosures mailed on July 9, 2004, Applicants were given a two-month period to submit a corrected copy of the "Sequence Listing" in computer readable form (CRF), and the required statement under 37 CFR §1.821(g) that the CRF and written copy of the sequence listing are the identical.



Applicants hereby submit the corrected CRF as well as the required statement.

It is not believed that extensions of time are required, beyond those that may otherwise be provided for in accompanying documents. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and the undersigned hereby authorizes withdrawal from our deposit account #50-3077 of any necessary funds.

Respectfully submitted,

Shelly Guest Cermak
Reg. No. 39,571

Date: August 27, 2004

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